



Understanding & Maintaining Facilities and Equipment for Biorisk Management

Student Guide

2013




GLOBAL BIORISK MANAGEMENT CURRICULUM

Understanding & Maintaining Facilities and Equipment for Biorisk Management

Welcome & Introductions




Welcome to Understanding & Maintaining Facilities and Equipment for Biorisk Management



Introductions

- Instructors
- Students
 - Your name?
 - Where are you from?



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Action Plan

By the end of this lesson, I would like to:

KNOW		FEEL		BE ABLE TO DO	
<i>Your learning doesn't stop with this lesson. Use this space to think about what else you need to do or learn to put the information from this lesson into practice.</i>					
What more do I need to know or do?		How will I acquire the knowledge or skills?		How will I know that I've succeeded?	How will I use this new learning in my job?

Understanding & Maintaining Facilities and Equipment for Biorisk Management

Welcome & Introductions



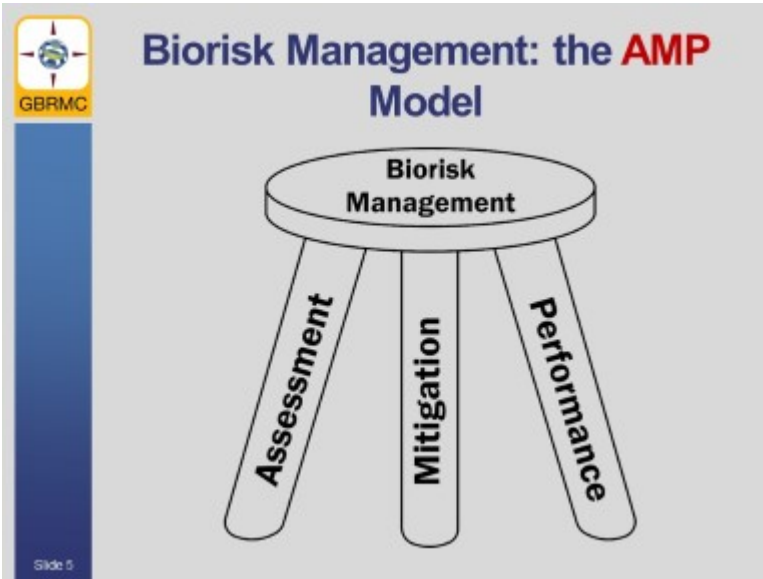
Key Messages

- Managers and leaders play a critical role in biorisk management by understanding, supporting, and maintaining the human capacity necessary to staff biorisk management initiatives and the physical infrastructure necessary to house safe and secure handling of pathogens.
- Management is responsible for providing adequate personnel, money, and time to provide for facilities and equipment that effectively mitigate biorisk
- There are five phases in the life of a facility: design, construction, operation, post-incident, and decommissioning. Each requires a different set of people, money, and time.
- Managers must know how to hire the right people for the job of physically maintaining facilities & equipment


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Understanding & Maintaining Facilities and Equipment for Biorisk Management

Biorisk Management




Define Biorisk Assessment



Key Components of Biorisk Management

- **Biorisk Assessment**
 - Process of identifying the hazards and evaluating the risks associated with biological agents and toxins, taking into account the adequacy of any existing controls, and deciding whether or not the risks are acceptable



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Key Components of Biorisk Management

- **Biorisk Mitigation**
 - Actions and control measures that are put into place to reduce or eliminate the risks associated with biological agents and toxins



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Define Biorisk Mitigation



Key Components of Biorisk Management

- **Biorisk Performance**
 - Improving biorisk management by recording, measuring, and evaluating organizational actions and outcomes to reduce biorisk.



Define Biorisk Performance



Mitigation Control Measures

There are five major categories of measures for controlling biological risks in the laboratory.

1. Elimination or Substitution
2. Engineering Controls
3. Administrative Controls
4. Practices and Procedures
5. Personal Protective Equipment

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Mitigation Control Measures

Elimination or Substitution: Removing the hazard, not working with the agent or replacing the hazard with something less dangerous



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Mitigation Control Measures

Engineering Controls:

Physical changes to work stations, equipment, materials, production facilities, or any other relevant aspect of the work environment that reduce or prevent exposure to hazards



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Mitigation Control Measures

Administrative Controls: Policies, standards and guidelines used to control risks



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Mitigation Control Measures

Practices and Procedures: Processes and activities that have been shown in practice to be effective in reducing risks



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Mitigation Control Measures

Personal Protective Equipment: Devices worn by the worker to protect against hazards in the laboratory



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Leadership Roles

Understand, Support, and Maintain:

- **Human Capacity**
 - Personnel Management
 - Worker Health
- **Physical Infrastructure**
 - Facilities & Equipment
 - Physical & Information Security
 - Risk Mitigation Strategies



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Containment Barriers

- **Primary Containment**
 - Protects the worker
- **Secondary Containment**
 - Protects the community & environment

Questions:

In this case: Who is the **Worker**? What is the **Community**? What is the **Environment**?


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Who is the worker?

What is the Community?

What is the Environment?

Examples:




Containment Barriers

Group Exercise – Step 1:


Your group has a set of cards with one biorisk mitigation strategy per card. Divide these between your members.

- Place your card(s) on the diagram:
 - on the primary containment boundary OR
 - on the secondary containment boundary
- If you feel your card provides both primary and secondary containment (or neither), hold on to it.
- Be prepared to discuss your results with the class.

You have **15 minutes** for this exercise




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Containment Barriers

Group Exercise – Step 2:

- After reaching a consensus with the class, pick up all the biorisk mitigation cards that are **not facility features**.
- At which level of containment do most of the cards that are facility features remain? Primary or Secondary?



Primary Containment
(protects worker)


Secondary Containment
(protects community & environment)

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At which level of containment do most of the cards that are facility features remain? Primary or Secondary?

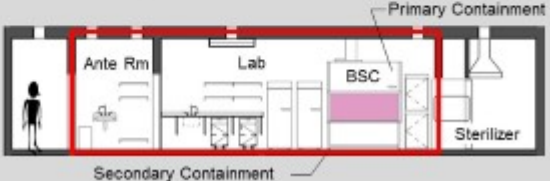
Why?

Examples:

 **Containment Barriers**

For Example:

- BSCs and animal isolators offer **Primary containment equipment** for infectious work..
- A building's containment barriers provide **secondary containment**.



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Facility Feature Categories

Group Exercise:

Discuss the **purpose** and **function** of three of these categories (assigned by instructor) and how these features contribute to **secondary (and primary) containment**:

- **Access Points** (doors, anterooms, locks, windows)
- **Surfaces** (cleanable, sealed, chemical resistant walls, floors, ceilings, benches)
- **Air flow and quality** (HVAC, HEPA, etc.)
- **Monitors & alarms** (airflow, power, intrusion)
- **Redundant systems** (back-up fans, generators)
- **Decontamination** (sinks, showers, autoclaves, etc.)

Take 15 minutes

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Access Points –

Surfaces –

Air Flow and Quality –

Monitors & Alarms –

Redundant Systems –

Decontamination –



Management of Facilities

Management is responsible for providing adequate. . .

- **People**
- **Money**
- **Time**



. . .to provide for facilities that effectively mitigate biorisk

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What does “Money” buy?

Question:

Excluding “people” and “time”, what are the items, relevant to **providing** and **maintaining** biocontainment facilities, that money buys?

List each item on an individual **sticky note** and place them on your **flip-chart**.

Take 5 minutes.

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Other items:



What does "Money" buy?

Class Exercise

Now, as a class, post your **sticky notes** and re-arrange them into categories. Put a header on each category.

Take 5 minutes.

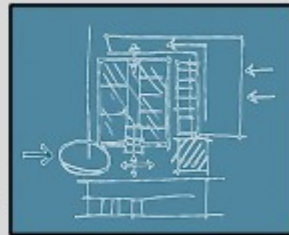
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What are the categories?



Phases of Facilities

- Design
- Construction
- Operation
- Preventive Maintenance
- "Reactive" Maintenance (post-incident)
- Decommissioning



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Resources for Facilities

Group Exercise:

Determine the **People**, **Money**, and **Time** that is needed for the various phases in the life of a biocontainment facility.

- Complete the tables in your **workbook**.
- Post your answers on your **flipchart** to share with the group.

You have 10 minutes.

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	People	Money	Time
Design			
Construction			
Operation			

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	People	Money	Time
Preventive Maintenance			
Reactive Maintenance			
Decommissioning			

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Understanding & Maintaining Facilities and Equipment for Biorisk Management

Biocontainment Equipment



Critical Biocontainment Equipment

- Biological Safety Cabinets
 - Other ventilated equipment
- Autoclaves
- Incinerators



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Biological Safety Cabinets (BSCs)

- Primary means of containment
- Three design types
 - **Class I, Class II, and Class III**
- Designed to provide protection for
 - **Personnel**
 - Directional flow of air into cabinet
 - **Environment**
 - HEPA filtered exhaust
 - **Product (except Class I)**
 - Laminar flow of HEPA filtered air



How is protection achieved?

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Directional Airflow in BSC

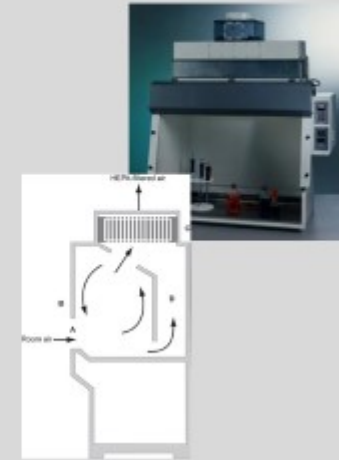


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Class I BSC

- Unfiltered room air passes over the work area
- Exhaust air is HEPA filtered before returning to the room
- No product protection



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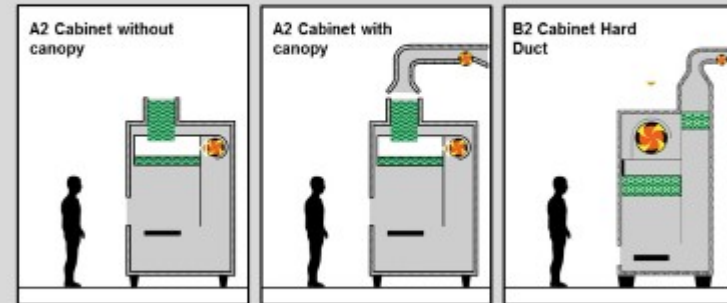


Class II A2 BSC

- 100 fpm face velocity
- 70% recirculated air, 30% exhausted (thru HEPA)
- Exhaust to room or thimble connected to external exhaust duct
- Potentially contaminated ducts and plenums under negative pressure or surrounded by negative pressure ducts and plenums
- May be used for work with minute quantities of volatile toxic chemicals and tracer amounts of radionuclides if they are exhausted through properly functioning exhaust canopies



Thimble vs. Hard Duct

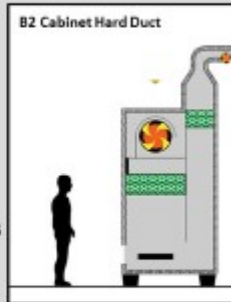


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Class II B2 BSC

- 100 fpm face velocity
- Exhaust 100% of the air to the outside after filtration through a HEPA filter
- Must be hard ducted to the outside
- Sometimes called "Total Exhaust"
- All contaminated ducts and plenums under negative pressure, or surrounded by (directly exhausted non-recirculated through the work area) negative pressure ducts and plenums
- May be used for work with volatile toxic chemicals and radionuclides



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Selecting a Biosafety Cabinet

Question:

In your groups, spend **5 minutes** answering the following questions. Be prepared to report to the class.

1. What happens to the cabinet function of a hard-ducted **Class II B2 cabinet** if the exhaust system fails?
2. What happens to the cabinet function of a canopy-connected **Class II A2 cabinet** if the exhaust system fails?

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Class III BSC

- Maximum containment, gas tight enclosure with glove ports
- Can be joined in a "line" to provide larger work area
- Usually custom built
- Heavy duty rubber gloves
 - **Restriction of movement**
- Interlocked interchange box allows material in and out



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Limitations of BSCs

Only **small quantities** of volatile chemicals may be used in **any** type of BSC

- Motors on standard BSCs are not spark-proof

Should **not use Bunsen burners** or **alcohol lamps** in BSCs

- Over time, heat can damage the HEPA filter
- Heat can create turbulent airflow, compromising protection
- And, potential for fire to destroy BSC
 - Buildup of flammable vapors with 70% recirculation



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Limitations of BSCs

BSCs need to be **tested** and **certified** regularly to assure they are providing the expected protection

- Prior to service
- After repairs or relocation
- Annually

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Engineering Controls – Identifying Problems

Question:

What is **wrong** with this picture?

Identify:

- The **Result**
- The **Assumed Risk**



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Engineering Controls – Fixing Problems



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Chemical Fume Hoods

- Designed for use with chemicals
- Provides personnel protection through inward air flow
- Usually no HEPA filtration
- 100% exhaust through hard ducting to exterior of the building

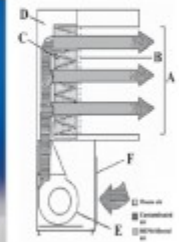


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Clean Bench or Laminar Flow Hood

- Outward air flow is directed toward the worker's breathing zone (no personnel protection)
- Provides a sterile environment
- Used primarily with non-hazardous material (media prep)



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Autoclaves



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What Is an Autoclave?

Pressure cooker

- Use steam under pressure
 - High pressure → high temperatures
 - Higher temperature → better kill effect
- The more moisture present, the more heat
 - Steam is one of the most effective carriers of heat
 - Steam also results in the efficient killing of cells and the coagulation of proteins
- High and even moisture content in the steam-air environment is important for effective autoclaving



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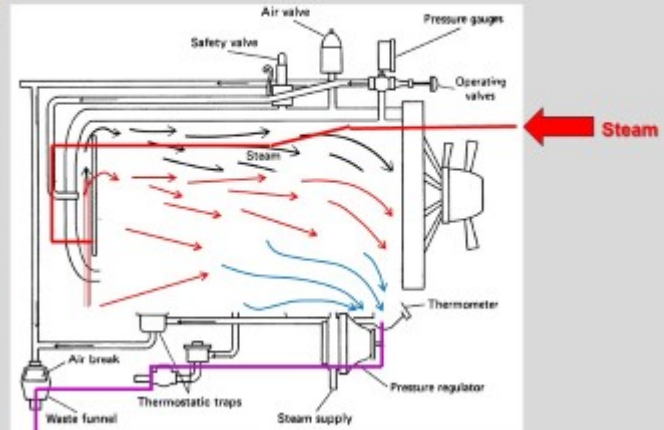
Principles of Autoclave Sterilization

- Direct exposure to steam at the required temperature and pressure for a specific time
 - 121 °C – 123 °C
 - 15 psi; 1.05 kg/cm²
- Time required depends on the nature of the material to be sterilized. (Generally 1 hr for waste)

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How Does the Autoclave Work?



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Steam Penetration

- Steam must directly contact all areas of the load (bags should be loosely gathered)
- If the steam can't penetrate a dry container, you have dry heat, which takes much longer to achieve kill.
- Add ~ 50 - 250 ml of water to bags prior to autoclaving to facilitate steam saturation

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Engineering Controls – Identifying Problems

Question:

What is **wrong** with this picture?

Identify:

- The **Result**
- The **Assumed Risk**



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Incineration

- Treatment of choice for animal bedding, carcasses and pathological wastes; but not plastics!
- Reduces volume of waste by up to 95%
- May allow energy generated to be recovered
- Operation parameters:
 - Primary chamber: 1400°F-1800 °F (760 °C-982 °C)
 - Secondary chamber: >2000 ° F (1093 °C)

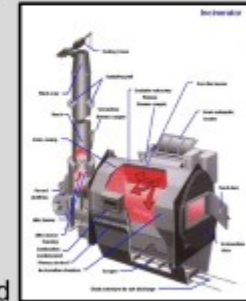


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Incineration Concerns

- Can generate smoke, residues with heavy metals, gases (e.g., HCl, CO, PCBs, etc.)
- May require pollution control devices, e.g., wet/dry air scrubbers, electrostatic precipitators
- Loading needs to be controlled
- May require permits



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Standard Laboratory Equipment

- Centrifuges
- Automatic pipettes
- Refrigerators, Freezers
- Incubators

Question:

How would biorisk management be affected if each of these were poorly maintained? Or if they were not available?

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Resources for Equipment

Group Exercise:

- Determine the types of **People**, **Money**, and **Time** that need to assure provision and maintenance of critical biocontainment equipment.
- Complete the table in your **workbook**.
- Post your answers on your **flipchart** to share with the group.

You have 10 minutes.

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	People	Money	Time
Biological Safety Cabinet			
Autoclave			
Incinerator			
Standard Lab Equipment			

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People

Many of the people who will be involved in provision and maintenance of facilities & equipment will be vendors and contractors.

Questions:

How do you know if you have the right person for the job?

How do you make sure that biorisk management expectations are met with external contractors?



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Performance Indicators

Establishing specific performance indicators is beyond the scope of this course, but think about general approaches that should be in place.

Question:

How do you know if facilities and equipment are operating as designed, specified, and installed?

List 3 to 5 ideas and write them in your **workbook**.

You have **5 minutes**.

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Understanding & Maintaining Facilities and Equipment for Biorisk Management

Review



Review

To wrap-up, let's discuss what we learned about **Understanding & Maintaining Facilities and Equipment for Biorisk Management**.

What did we learn? What does it mean? Where do we go from here?

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Key Messages

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Action Plan

By the end of this lesson, I would like to:

KNOW		FEEL		BE ABLE TO DO	
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Your learning doesn't stop with this lesson. Use this space to think about what else you need to do or learn to put the information from this lesson into practice.

What more do I need to know or do?	How will I acquire the knowledge or skills?	How will I know that I've succeeded?	How will I use this new learning in my job?

Use space on back, if needed



Reference

BSC	Air Flow (%)		Exhaust System	Volatile Toxic Chemicals/Radionuclides
	Recirculated	Exhausted		
Class I	0	100	Exhaust to room, hard duct or thimble connection	Yes if hard ducted to building exhaust
Class IIA1	70	30	Exhaust to room or thimble connection to building exhaust	No
Class IIA2 – vented outside	70	30	Exhaust to room or thimble connection to building exhaust	Yes (Minute amounts)
Class IIB1	30	70	Hard duct	Yes (Small amounts)
Class IIB2	0	100	Hard duct	Yes
Class III	0	100	Hard duct	Yes